| O 1 P        | the soil  |   |                                   |  |                       | PTO/SB/21 (08-03  |  |  |
|--------------|---|---|-----------------------------------|--|-----------------------|---|--|--|
| PART TADEMAS |   |   |                                   | Applic   | ation Number          | 10/748,442  |  |  |
| TRANSAR PAR  | TRAI  | NSMITTAL  |                                   | Filing   | Date                  | December 29, 2003   |  |  |
| MOLINI       | -   | FORM  |                                   | First N  | lamed Inventor        | Bennett Cookson   |  |  |
|              | (to be used for all co  | rrespondence after i                                    | nitial filing)                    | Art Un   | it                    | Not yet known   |  |  |
|              |   |   |                                   | Exami  | ner Name              | Not yet known   |  |  |
|              | Total Number of Page<br>Submission  | es in This  |                                   | Attorn   | ey Docket Number      | 019404-001400US   |  |  |
|              |   |   | ENCLOSURES (Check all that apply) |  |                       |   |  |  |
| .•           | Fee Transmittal   | Form  | ☐ Drawin                          | g(s)   |                       | After Allowance Communication to Group                            |  |  |
|              | Fee Attache   | ed  | Licensing-related Papers          |  |                       | Appeal Communication to Board of Appeal and Interferences         |  |  |
|              | Amendment/Rep   | I I After Final I — I - I - I - I - I - I - I - I - I - |                                   |  |                       | Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) |  |  |
|              | After Final   |   |                                   |  | rert to a<br>lication | Proprietary Information   |  |  |
|              | Affidavits/d  | eclaration(s)   |                                   | Power of Attorney, Revocation Change of Correspondence Address |                       | Status Letter   |  |  |
|              | Extension of Tim  | ension of Time Request                                  |                                   |  | imer                  | Other Enclosure(s) (please identify below):                       |  |  |
|              | Express Abando  | ress Abandonment Request                                |                                   | Request for Refund CD, Number of CD(s)                         |                       | Return Postcard, two cited co-pending applications                |  |  |
|              | <ul> <li>✓ Information Disclosure Statement</li> <li>☐ Certified Copy of Priority<br/>Document(s)</li> <li>☐ Response to Missing Parts/<br/>Incomplete Application</li> </ul> |   |                                   |  |                       |   |  |  |
|              |   |   | Rema                              | Remarks The Commissioner is Account 20-1430.                   |                       | authorized to charge any additional fees to Deposit               |  |  |
| :            |   |   |                                   |  | I                     |   |  |  |
|              | Response t<br>under 37 C  |   |                                   |  | ·                     |   |  |  |
|              |   | Y   |                                   |  | ICANT, ATTORNEY,      | OR AGENT  |  |  |
|              | Firm<br>or  | Townsend and T  | ownsend a                         | nd Crev  |                       |   |  |  |
|              | Individual Irvin E. Branch Reg. No. 42,358  |   |                                   |  |                       | 0. 42,358   |  |  |
|              | Signature   | 1 115   |                                   | ~  |                       | ·   |  |  |

## **CERTIFICATE OF TRANSMISSION/MAILING**

March 11, 2004

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

| Typed or printed name | Nicole M. Wartell  |      |                |
|-----------------------|--|------|----------------|
| Signature             | Otto Della D | Date | March 11, 2004 |

Date

Attorney Docket No.: 019404-001400US

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

MARCH 11,200L

TOWNSEND and TOWNSEND and CREW LLP

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Bennett Cookson, Jr.

Application No.: 10/748,442

Filed: December 29, 2003

For: PROVIDING ALTERNATIVES WITHIN A FAMILY TREE SYSTEMS

AND METHODS

Examiner: Not yet known

Art Unit: Not yet known

INFORMATION DISCLOSURE

STATEMENT UNDER 37 CFR §1.97 and

§1.98

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

The references cited on attached form PTO/SB/08A are being called to the attention of the Examiner. U.S. patents are not enclosed in accordance with the Patent Office waiver issued August 5, 2003 in the Official Gazette, which states as follows:

> The Office hereby waives the requirement under 37 CFR 1.98 (a)(2)(i) for submitting a copy of each cited U.S. patent and each U.S. patent application publication for all U.S. national patent applications filed after June 30, 2003 and for all international applications that have entered the national stage under 35 USC 371 after June 30, 2003. See 37 CFR 1.491(b).

Application No.: 10/748,442

Page 2

Applicants also wish to make the Examiner aware of the following co-pending patent applications:

- 1. U.S. Patent App. No. 10/748,441, filed December 29, 2003, Applicant Cookson, Jr. et al., Attorney Docket No. 019404-001200US; and
- 2. U.S. Patent App. No. 10/748,439, filed December 29, 2003, Applicant Cookson, Jr. et al., Attorney Docket No. 019404-001500US.

To comply with 37 CFR §1.98(a)(2)(iii), the specifications, drawings and claims for each of these applications are enclosed.

It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicant believes that <u>no fee is required</u> for submission of this statement. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,

Irvin E. Branch Reg. No. 42,358

TOWNSEND and TOWNSEND and CREW LLP Two Embarcadero Center, Eighth Floor San Francisco, California 94111-3834

Tel: 303-571-4000 Fax: 303-571-4321

IEB:nmb 60163148 v1



Substitute for form 1449A/PTO Complete if Known **Application Number** 10/748,442 INFORMATION DISCLOSURE Filing Date December 29, 2003 STATEMENT BY APPLICANT First Named Inventor Cookson, Bennett Art Unit Not yet known (use as many sheets as necessary) **Examiner Name** Not yet known Sheet 1 of **Attorney Docket Number** 019404-001400US

|                    | U.S. PATENT DOCUMENTS+   |  |                               |  |   |  |  |
|--------------------|--------------------------|--|-------------------------------|--|---|--|--|
|                    |                          | Document Number                          |                               |  |   |  |  |
| Examiner Initials* | Cite<br>No. <sup>1</sup> | Number Kind Code <sup>2</sup> (if known) | Publication Date - MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant<br>Figures Appear |  |  |
|                    | A1                       | US-6,570,567 B1                          | 05-27-2003                    | Eaton  |   |  |  |
|                    | A2                       |  |                               |  |   |  |  |

|                       |                          |                           | _                   | FOREIGN PA                        | TENT DOCUME                    | NTS                          |  |                |
|-----------------------|--------------------------|---------------------------|---------------------|-----------------------------------|--------------------------------|------------------------------|--|----------------|
| Examiner<br>Initials* | Cite<br>No. <sup>1</sup> | Foreign Patent Document   |                     |                                   |                                | Name of Patentee or          | Pages, Columns, Lines,<br>Where Relevant |                |
|                       |                          | Country Code <sup>3</sup> | Number <sup>4</sup> | Kind Code <sup>5</sup> (if known) | Publication Date<br>MM-DD-YYYY | Applicant of Cited  Document | Passages or Relevant<br>Figures Appear   | T <sup>6</sup> |
|                       | B1                       |                           |                     |                                   |                                |                              |  |                |
|                       | B2                       |                           |                     | •                                 |                                |                              |  |                |

| Examiner<br>Signature | <br>Date<br>Considered |  |  |
|-----------------------|------------------------|--|--|